

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Section 3*  
*#3*

In re Patent Application of: )  
**SPALDING** )  
Serial No. **09/837,664** )  
Filing Date: **April 18, 2001** )  
Confirmation No. **9909** )  
For: **SYSTEM AND METHOD FOR SECURING** )  
**TRANSACTIONS BETWEEN BUYER** )  
**AND CREDIT AUTHORIZER** )

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**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Director, U.S. Patent and Trademark Office  
Washington, DC 20231

Sir:

Responsive to the Notice to File Missing Parts  
mailed June 12, 2001, Applicants enclose the following:

1. Filing fee of \$355.00 for a small entity.
2. Surcharge of \$65.00 for small entity.
3. Declaration and Power of Attorney.
4. Copy of "Notice to File Missing Parts of  
Nonprovisional Application" mailed June 12,  
2001.

Checks in the amount of **\$420.00** to cover the filing  
fee and surcharge fee, and **\$40.00** to cover the assignment  
recordal fee are enclosed.

In re Patent Application of:

**SPALDING**

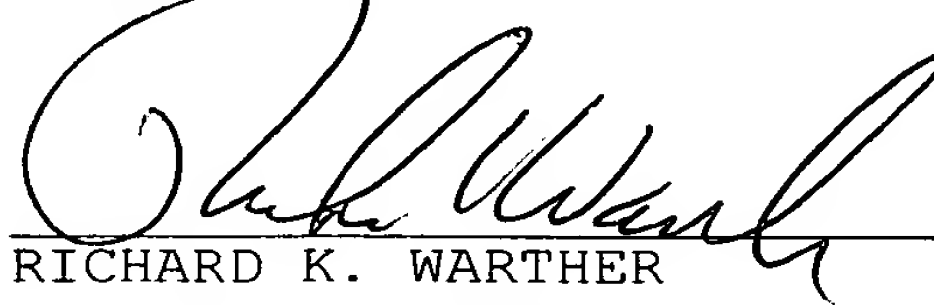
Serial No. **09/837,664**

Filing Date: **4/18/2001**

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If any additional extension and/or fee is required,  
or if any additional fee for claims is required, charge  
Account No. **01-0484**.

Respectfully submitted,



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407/841-2330

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as First Class  
Mail in an envelope addressed to: **DIRECTOR, U.S. PATENT AND  
TRADEMARK OFFICE, WASHINGTON, DC 20231**, on this 11<sup>th</sup> day of  
July, 2001.



#3

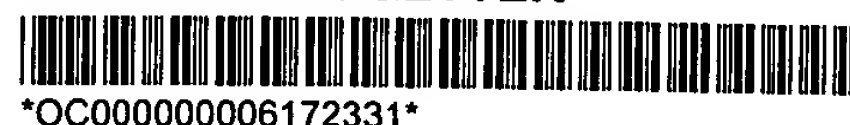
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,664	04/18/2001	Jeff Spalding	22819

CONFIRMATION NO. 9909

## FORMALITIES LETTER



RICHARD K. WARTHER  
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Date Mailed: 06/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

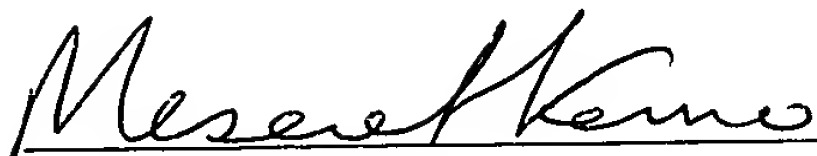
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/20/2001 MABD11 00000033 09837664

01 FC:201 355.00 OP  
02 FC:205 65.00 OP

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE